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# Continuum-wise expansive diffeomorphisms and conservative systems

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## Abstract

We prove that  $C^1$ -generically, continuum-wise expansive diffeomorphisms satisfy both Axiom A and the no-cycle condition. Moreover, (i) if a volume-preserving diffeomorphism belongs to the  $C^1$ -interior of the set of all continuum-wise expansive volume-preserving diffeomorphisms then it is Anosov, and (ii)  $C^1$ -generically, every continuum-wise expansive volume-preserving diffeomorphism is transitive Anosov.

**MSC:** 37C20; 37D20

**Keywords:** Axiom A; expansive; continuum-wise expansive; Anosov; transitive; generic property

## 1 Introduction

Let  $\text{Diff}(M)$  be the space of diffeomorphisms of closed  $C^\infty$ -manifolds  $M$  endowed with the  $C^1$ -topology, and let  $d$  denote the distance on  $M$  induced from a Riemannian metric  $\|\cdot\|$  on the tangent bundle  $TM$ . In dynamical systems, expansivity is a useful notion to study of the stability. Roughly speaking, if two points stay near for future and past iterates, then they must be equal. We say that  $f$  is *expansive* if there is  $e > 0$  such that for any pair of distinct points  $x, y \in M$ ,  $d(f^n(x), f^n(y)) > e$  for some  $n \in \mathbb{Z}$ . The number  $e > 0$  is called an *expansive constant* for  $f$ .

For a point  $x \in M$ , we say that  $x$  is a *non-wandering point* if for any neighborhood  $U$  of  $x$ , there is  $n \in \mathbb{Z}$  such that  $f^n(U) \cap U \neq \emptyset$ . Denote by  $\Omega(f)$  the set of all non-wandering points of  $f$ . It is clear  $\overline{P(f)} \subset \Omega(f)$ , where  $P(f)$  is the set of the periodic points of  $f$ , and  $\overline{P(f)}$  is the closure of  $P(f)$ . We say that  $f$  satisfies *Axiom A* if  $\Omega(f) = \overline{P(f)}$  is hyperbolic. We say that  $f$  is *quasi-Anosov* if for any  $v \in TM$  ( $v \neq 0$ ) the set  $\{\|Df^n(v)\| : n \in \mathbb{Z}\}$  is unbounded. It follows that  $f$  satisfies Axiom A.

For expansivity, in [1], Mañé showed that a diffeomorphism belongs to the  $C^1$ -interior of the set of all expansive diffeomorphisms if and only if  $f$  is quasi-Anosov.

In this paper, we study the notion of continuum-wise expansivity which was introduced by Kato in [2]. Let  $\Lambda$  be a closed set of  $M$ . A set  $\Lambda$  is *nondegenerate* if the set  $\Lambda$  is not reduced to one point. We say that  $\Lambda \subset M$  is a *subcontinuum* if it is a compact connected nondegenerate subset  $\Lambda$  of  $M$ . A diffeomorphism  $f$  on  $M$  is said to be *continuum-wise expansive* if there is a constant  $e > 0$  such that for any nondegenerate subcontinuum  $A$  there is an integer  $n = n(A)$  such that  $\text{diam} f^n(A) \geq e$ , where  $\text{diam} S = \sup\{d(x, y) : x, y \in S\}$  for any subset  $S$  of  $M$ . Such a constant  $\alpha$  is called a *continuum-wise expansive constant* for  $f$ . Note that every expansive homeomorphism is continuum-wise expansive diffeomorphism, but

its converse is not true (see [3, Example 3.5]). For diffeomorphisms, we introduce an example. It is well known that  $S^2$  does not admit an expansive diffeomorphism, but it admits a continuum-wise expansive diffeomorphisms (see [4]).

## 2 Continuum-wise diffeomorphisms

Let  $M$  be as before, and let  $f \in \text{Diff}(M)$ . Denote by  $\mathcal{E}(M)$  and  $\mathcal{CW}\mathcal{E}(M)$  the set of all expansive diffeomorphisms and the set of all continuum-wise expansive diffeomorphisms, respectively. Sakai [5] proved that  $f \in \mathcal{CW}\mathcal{E}(M)$  if and only if the diffeomorphism is quasi-Anosov. By Mañé's result [1], we know the following.

**Theorem 2.1** *The  $C^1$ -interior of  $\mathcal{CW}\mathcal{E}(M)$  coincides with the  $C^1$ -interior of  $\mathcal{E}(M)$ .*

We say that  $\Lambda$  is *transitive set* if there is a point  $x \in \Lambda$  such that  $\omega_f(x) = \Lambda$ , where  $\omega_f(x)$  is the  $\omega$ -limit set of  $x$ . Let  $\Lambda \subset M$  be an  $f$ -invariant closed set. We say that  $\Lambda$  admits a *dominated splitting* if the tangent bundle  $T_\Lambda M$  has a continuous  $Df$ -invariant splitting  $E \oplus F$  and there exist constants  $C > 0$  and  $0 < \lambda < 1$  such that

$$\|D_x f^n|_{E(x)}\| \cdot \|D_x f^{-n}|_{F(f^n(x))}\| \leq C\lambda^n$$

for all  $x \in \Lambda$  and  $n \geq 0$ . Recently, Lee [6] showed that if a transitive set  $\Lambda$  is  $C^1$ -stably continuum-wise expansive then it admits a dominated splitting.

A subset  $\mathcal{R} \subset \text{Diff}(M)$  is called *residual* if it contains a countable intersection of open and dense subsets of  $\text{Diff}(M)$ . A dynamic property is called  $C^1$ -*generic* if it holds in a residual subset of  $\text{Diff}(M)$ . We use the terminology for  $C^1$ -*generic*  $f$  to express *there is a residual subset  $\mathcal{R} \subset \text{Diff}(M)$ , and  $f \in \mathcal{R}$ .*

Recently, in [7], Arbieto proved that for  $C^1$ -generic  $f \in \text{Diff}(M)$ ,  $f$  is expansive then  $f$  is  $\Omega$ -stable, that is, obeys Axiom A and the no-cycle condition. We stated the above fact.

**Theorem 2.2** *For  $C^1$ -generic  $f$ , if  $f$  is expansive then  $f$  satisfies both Axiom A and the no-cycle condition.*

In this spirit, we show that  $C^1$ -generically, every continuum-wise expansive diffeomorphism satisfies both Axiom A and the no-cycle condition. This is a generalization of the remarkable result in [7].

**Theorem A** *For  $C^1$ -generic  $f$ , if  $f$  is continuum-wise expansive then  $f$  satisfies both Axiom A and the no-cycle condition.*

## 3 Continuum-wise volume-preserving diffeomorphisms

Let  $M$  be a closed  $C^\infty$  Riemannian manifold endowed with a volume form  $\omega$ . Let  $\mu$  denote the Lebesgue measure associated to  $\omega$ , and let  $d$  denote the metric induced on  $M$  by the Riemannian structure. Denote by  $\text{Diff}_\mu(M)$  the set of diffeomorphisms which preserves the Lebesgue measure  $\mu$  endowed with the Whitney  $C^1$ -topology. Note that in volume-preserving diffeomorphisms, the non-wandering set  $\Omega(f) = M$  by recurrent theorem. We say that  $\Lambda$  is *hyperbolic* if the tangent bundle  $T_\Lambda M$  has a  $Df$ -invariant splitting  $E^s \oplus E^u$  and there exist constants  $C > 0$  and  $0 < \lambda < 1$  such that

$$\|D_x f^n|_{E_x^s}\| \leq C\lambda^n \quad \text{and} \quad \|D_x f^{-n}|_{E_x^u}\| \leq C\lambda^n$$

for all  $x \in \Lambda$  and  $n \geq 0$ . Moreover, if  $\Lambda = M$  then  $f$  is Anosov. Note that  $f$  is Anosov then  $f$  is expansive, and so,  $f$  is continuum-wise expansive. In [8], Bessa *et al.* proved that a volume-preserving diffeomorphism belongs to the  $C^1$ -interior of the set of all expansive volume-preserving diffeomorphisms if and only if it is Anosov. For the another conservative cases, that is, geodesic flow and a Hamiltonian system, Bessa *et al.* have shown in [9] that if a Hamiltonian system belongs to the  $C^2$ -interior of the set of all expansive Hamiltonian systems then it is Anosov. And Ruggiero [10] showed that if a geodesic flow belongs to the  $C^1$ -interior of the set of all expansive geodesic vector fields then it is Anosov.

Let  $\mathcal{CWE}_\mu(M)$  be the set of all continuum-wise expansive volume-preserving diffeomorphisms. In this paper, we study the continuum-wise expansive case, and if  $f$  belongs to the  $C^1$ -interior of  $\mathcal{CWE}_\mu(M)$ , then  $f$  is Anosov. Let  $\text{int } \mathcal{CWE}_\mu(M)$  denote the  $C^1$ -interior of the set of all continuum-wise expansive volume preserving diffeomorphisms. In this paper, we prove the following theorem.

**Theorem B** *The set  $\mathcal{AN}_\mu(M)$  of Anosov diffeomorphisms in  $\text{Diff}_\mu(M)$  coincides with the  $C^1$ -interior of the set of continuum-wise expansive diffeomorphisms in  $\text{Diff}_\mu(M)$ ; that is,  $\mathcal{AN}_\mu(M) = \text{int } \mathcal{CWE}_\mu(M)$ .*

In diffeomorphisms, Arbieto [7] proved that  $C^1$ -generically, if  $f$  is expansive then  $f$  is  $\Omega$ -stable. It is well known that for a  $\Omega$ -stable diffeomorphism, there is a diffeomorphism such that the diffeomorphism is not expansive. However, for volume-preserving diffeomorphisms, the phenomenon cannot happen since  $\Omega(f) = M$ . In  $\dim M = 2$ , for  $C^1$ -generic  $f$ , if a  $C^1$ -neighborhood  $\mathcal{U}(f)$  of  $f$ , there is  $g \in \mathcal{U}(f)$  such that  $g$  has a periodic point  $p_g$  with homoclinic tangency  $q_g$  then  $f$  has a periodic point  $p$  with homoclinic tangency  $q$ . In fact, it is closely related to the conjecture of Smale (see [11]). Note that if  $\dim M = 2$  then it does not exist normally hyperbolic. In this paper, we consider  $\dim M \geq 3$ . Recently, Bessa *et al.* [8] proved that  $\dim M \geq 3$ , for  $C^1$ -generic  $f$ , if  $f \in \text{Diff}_\mu(M)$  is expansive then  $f$  is Anosov. For a Hamiltonian system, Lee [12] showed that  $C^2$ -generically, an expansive Hamiltonian system is Anosov. In this spirit, we study the continuum-wise expansiveness for generic view point. Then we have the following.

**Theorem C** *For  $C^1$ -generic  $f$ , iff is continuum-wise expansive then it is transitive Anosov.*

#### 4 Proof of Theorem A

Let  $\dim M \geq 3$  and let  $f \in \text{Diff}(M)$ . We prepare several lemmas to arrive at Theorem A. The Franks lemma [13] will play an essential role in our proofs.

**Lemma 4.1** *Let  $\mathcal{U}(f)$  be any given  $C^1$ -neighborhood of  $f$ . Then there exist  $\varepsilon > 0$  and a  $C^1$ -neighborhood  $\mathcal{U}_0(f) \subset \mathcal{U}(f)$  of  $f$  such that for given  $g \in \mathcal{U}_0(f)$ , a finite set  $\{x_1, x_2, \dots, x_N\}$ , a neighborhood  $U$  of  $\{x_1, x_2, \dots, x_N\}$  and linear maps  $L_i : T_{x_i}M \rightarrow T_{g(x_i)}M$  satisfying  $\|L_i - D_{x_i}g\| \leq \varepsilon$  for all  $1 \leq i \leq N$ , there exists  $\hat{g} \in \mathcal{U}(f)$  such that  $\hat{g}(x) = g(x)$  if  $x \in \{x_1, x_2, \dots, x_N\} \cup (M \setminus U)$  and  $D_{x_i}\hat{g} = L_i$  for all  $1 \leq i \leq N$ .*

Let  $p$  be a periodic point of  $f$ , and let  $0 < \delta < 1$ . We say  $p$  has a  $\delta$ -weak eigenvalue if  $D_p f^{\pi(p)}$  has an eigenvalue  $\lambda$  such that  $(1 - \delta)^{\pi(p)} < |\lambda| < (1 + \delta)^{\pi(p)}$ . The following lemma will also play a crucial role in our proof.

**Lemma 4.2** [7, Lemma 5.1] *There exists a residual set  $\mathcal{R}_1 \subset \text{Diff}(M)$  such that for any  $f \in \mathcal{R}_1$ ,*

- (1) *for any  $\delta > 0$ , if for any  $C^1$ -neighborhood  $\mathcal{U}(f)$ , there is  $g \in \mathcal{U}(f)$  which has a hyperbolic  $p_g \in P(g)$  with a  $\delta$ -weak eigenvalue, then  $f$  has a hyperbolic point  $p \in P(f)$  with a  $2\delta$ -weak eigenvalue;*
- (2) *for any  $\delta > 0$ , if  $f$  has a hyperbolic point  $q \in P(f)$  with a  $\delta$ -weak eigenvalue, then  $f$  has a hyperbolic point  $p \in P(f)$  with a  $\delta$ -weak eigenvalue, whose eigenvalues are all real.*

**Remark 4.3** *If  $f$  has a normally hyperbolic, then by Hirsh *et al.* [14] and Mañé [15], it is  $C^1$ -robust, that is, for any  $g$   $C^1$ -close to  $f$ ,  $g$  has a normally hyperbolic then  $f$  also has a normally hyperbolic (see also [16]).*

**Lemma 4.4** *There exists a residual set  $\mathcal{R}_2 \subset \text{Diff}(M)$  such that for  $f \in \mathcal{R}_2$  if  $f$  is continuum-wise expansive, then there exists  $\delta > 0$  such that  $f$  has no  $\delta$ -weak eigenvalue.*

*Proof* Let  $\mathcal{R}_2 = \mathcal{R}_1$ , and let  $f \in \mathcal{R}_2$  be continuum-wise expansive for  $f$ . Suppose, by contradiction, that for any  $\delta > 0$  there is a periodic point  $p$  of  $f$  such that  $p$  has a  $\delta$ -weak eigenvalue. Let  $\varepsilon > 0$ , and let  $\mathcal{V}(f) \subset \mathcal{U}_0(f)$  be a  $C^1$ -neighborhood of  $f$  which is given by Lemma 4.1 with respect to  $\mathcal{U}_0(f)$ . Then there exist  $g \in \mathcal{U}(f)$  and a non-hyperbolic periodic point  $q$  of  $g$  such that an eigenvalue  $\lambda$  of  $D_q g^{\pi(q)}$  with  $|\lambda| = 1$ , and  $T_q M = E^c(q) \oplus E^s(q) \oplus E^u(q)$ , where  $E^\sigma(q)$ ,  $\sigma = c, s, u$ , are  $D_q g^{\pi(q)}$ -invariant subspaces corresponding to eigenvalues  $\lambda$  of  $D_q g^{\pi(q)}$  for  $|\lambda| = 1$ ,  $|\lambda| < 1$ , and  $|\lambda| > 1$ , respectively. Let  $\mathcal{W}(f) \subset \mathcal{V}(f)$  be the  $C^1$   $\varepsilon_0$ -ball of  $f$ . Set  $C = \sup_{x \in M} \{ \|D_x g\| \}$ . For  $0 < \varepsilon_1 < \varepsilon_0$ , we can obtain a linear automorphism  $\mathcal{O} : T_q M \rightarrow T_q M$  such that

- (i)  $\| \mathcal{O} - \text{id} \| < \frac{\varepsilon_1}{C}$ ,
  - (ii)  $\mathcal{O}$  keeps  $E^\sigma$  invariant, where  $\sigma = c, s, u$ ,
  - (iii) all eigenvalues of  $\mathcal{O} \circ D_q g^{\pi(q)}$ , say  $\mu_j, j = 1, 2, \dots, c$ , are roots of unity.
- Let  $F$  be the finite set  $\{q, g(q), \dots, g^{\pi(q)-1}(q)\}$ . Define

$$L_j = \begin{cases} D_{g^j(q)} g, & j = 0, 1, \dots, \pi(q) - 2, \\ \mathcal{O} \circ D_{g^{\pi(q)-1}(q)} g, & j = \pi(q) - 1. \end{cases}$$

Observe that  $\|L_{\pi(q)-1} - D_{g^{\pi(q)-1}(q)} g\| \leq \| \mathcal{O} - \text{id} \| \cdot \|D_{g^{\pi(q)-1}(q)} g\| < \varepsilon_0$ . Thus  $\|L_j - D_{g^j(q)} g\| < \varepsilon_0$  for all  $j = 0, 1, \dots, \pi(q) - 1$ . By Lemma 4.1, we can find a diffeomorphism  $g_1 \in \mathcal{W}(f)$  and  $\delta_0 > 0$  such that

- (a)  $B_{4\delta_0}(g^i(q)) \cap B_{4\delta_0}(q) = \emptyset, 0 \leq i \neq j \leq \pi(q) - 1$ ,
- (b)  $g_1 = g$  on  $F \cup (M - \bigcup_{j=0}^{\pi(q)-1} B_{4\delta_0}(g^j(q)))$ ,
- (c)  $g_1 = \exp_{g^{j+1}(q)} \circ L_j \circ \exp_{g^j(q)}^{-1}$  on  $B_{\delta_0}(g^j(q)), 0 \leq j \leq \pi(q) - 1$ .

Define

$$L = \mathcal{O} \circ D_q g^{\pi(q)} = \prod_{j=0}^{\pi(q)-1} L_j,$$

where  $B_\delta(p)$  denotes the  $\delta$ -neighborhood of  $p$ .

Then by (iii) we can find  $m > 0$  such that  $L^m|_{E^c(q)} = \text{id}|_{E^c(q)}$ . Choose a small  $\delta_1$  satisfying  $0 < 4\delta_1 < \delta_0$  such that

$$L^{mk}(T_q M(4\delta_1)) \subset T_q M(\delta_0),$$

where  $T_q M(\delta_1) = \{v \in T_q M \mid \|v\| \leq \delta_1\}$ . Then by (c) we have

$$(g_1^{\pi(q)})^m = g_1^{m\pi(q)} = \exp_q \circ G^m \circ \exp_q^{-1}$$

on  $\exp_q(T_q M(4\delta_1))$ .

We write

$$T_q M(\delta_1) = E^c(q, \delta_1) \oplus E^s(q, \delta_1) \oplus E^u(q, \delta_1),$$

where  $E^\sigma(q, \delta_1) = E^\sigma(q) \cap T_q M(\delta_1)$ ,  $\sigma = c, s, u$ . Then  $\exp_q(E^c(q, 4\delta_1))$  is  $(g_1^k)^m$ -invariant. Since  $f \in \mathcal{R}_1$ , we assume that the eigenvalue  $\lambda \in \mathbb{R}$ .

Put  $\exp_q(E^c(q, 4\delta_1))$  is an arc  $\mathcal{I}_q$  centered at  $q$ . Observe that  $(g_1^k)^m = \text{id}$  on  $\exp_q(E^c(q, 4\delta_1))$ . By our construction,  $(g_1^k)^m$  is the identity on the arc  $\mathcal{I}_q$ . It is clear that the small arc  $\mathcal{I}_q$  is normally hyperbolic for  $g_1$ . By Remark 4.3, for any  $g$   $C^1$ -close to  $f$ , if  $g$  has a normally hyperbolic then  $f$  has a normally hyperbolic, that is, it is  $C^1$ -robust. Then we know that  $f$  has a small arc  $\mathcal{J}_q$  which centered at  $q$  with  $f^{\pi(q)}(\mathcal{J}_q) = \mathcal{J}_q$ . Note that if  $f$  is continuum-wise expansive then  $f^k$  is continuum-wise expansive for any  $k \in \mathbb{Z}$  (see [2, Proposition 2.6]). Denote by  $l(A)$  the length of  $A$ . Take  $e = 2l(\mathcal{J}_q)$ . Since  $\mathcal{J}_q$  is  $f^{\pi(q)}$ -invariant, for all  $n \in \mathbb{Z}$ ,

$$\text{diam}(f^n(\mathcal{J}_q)) < e.$$

This is a contradiction. □

We say that  $f$  satisfies *star condition* if there is a  $C^1$ -neighborhood  $\mathcal{U}(f)$  such that for any  $g \in \mathcal{U}(f)$ , every  $p \in P(g)$  is hyperbolic. We denote by  $\mathcal{F}(M)$  the set of diffeomorphisms satisfying star condition.

**Lemma 4.5** *There is a residual set  $\mathcal{R}_3 \subset \text{Diff}(M)$  such that for any continuum-wise expansive map  $f \in \mathcal{R}_3$ ,  $f \in \mathcal{F}(M)$ .*

*Proof* Let  $\mathcal{R}_3 = \mathcal{R}_2$ , and let  $f \in \mathcal{R}_3$  be continuum-wise expansive. Proof by contradiction, we may assume that  $f \notin \mathcal{F}(M)$ . Then by Lemma 5.1, there is  $g$   $C^1$ -close to  $f$  and  $p_g \in P(g)$  such that for any  $\delta > 0$ ,  $p_g$  has a  $\delta/2$ -weak eigenvalue. By Lemma 4.2,  $p \in P(f)$  has a  $\delta$ -weak eigenvalue. This is a contradiction by Lemma 4.4. □

*Proof of Theorem A* Let  $f \in \mathcal{R}_3$  be continuum-wise expansive. By Lemma 4.5,  $f \in \mathcal{F}(M)$ . Since  $f \in \mathcal{F}(M)$ , By Aoki [17] and Hayashi [18], we know that  $f$  satisfies both Axiom A and the no-cycle condition. Thus it is  $\Omega$ -stable. □

## 5 Proof of Theorem B and Theorem C

Let  $M$  and let  $f \in \text{Diff}_\mu(M)$  be as before. To prove our result, we use the Franks lemma, which is proved in [19, Proposition 7.4].

**Lemma 5.1** *Let  $f \in \text{Diff}_\mu^1(M)$ , and  $\mathcal{U}$  be a  $C^1$ -neighborhood of  $f$  in  $\text{Diff}_\mu^1(M)$ . Then there exist a  $C^1$ -neighborhood  $\mathcal{U}_0 \subset \mathcal{U}$  of  $f$  and  $\varepsilon > 0$  such that if  $g \in \mathcal{U}_0$ , any finite  $f$ -invariant set  $E = \{x_1, \dots, x_m\}$ , any neighborhood  $U$  of  $E$  and any volume-preserving linear maps  $L_j : T_{x_j} M \rightarrow T_{g(x_j)} M$  with  $\|L_j - D_{x_j} g\| \leq \varepsilon$  for all  $j = 1, \dots, m$ , there is a conservative diffeomorphism  $g_1 \in \mathcal{U}$  coinciding with  $f$  on  $E$  and out of  $U$ , and  $D_{x_j} g_1 = L_j$  for all  $j = 1, \dots, m$ .*

We denote by  $\mathcal{F}_\mu(M)$  the set of diffeomorphisms  $f \in \text{Diff}_\mu(M)$  which have a  $C^1$ -neighborhood  $\mathcal{U}(f) \subset \text{Diff}_\mu(M)$  such that for any  $g \in \mathcal{U}(f)$ , every periodic point of  $g$  is hyperbolic.

Very recently, Arbieto and Catalan [20] proved that every volume-preserving diffeomorphism in  $\mathcal{F}_\mu(M)$  is Anosov.

**Theorem 5.2** [20, Theorem 1.1] *Every diffeomorphism in  $\mathcal{F}_\mu(M)$  is Anosov.*

To prove Theorem B, it is enough to show that a continuum-wise expansive volume-preserving diffeomorphism  $f \in \mathcal{F}_\mu(M)$ .

**Remark 5.3** Let  $f \in \text{Diff}_\mu^1(M)$ . From the Moser theorem (see [21]), we can find a smooth conservative change of coordinates  $\varphi_x : U(x) \rightarrow T_x M$  such that  $\varphi_x(x) = 0$ , where  $U(x)$  is a small neighborhood of  $x \in M$ .

**Lemma 5.4** *If  $f \in \text{int} \mathcal{CW}\mathcal{E}_\mu(M)$ , then  $f \in \mathcal{F}_\mu(M)$ .*

*Proof* Take  $f \in \text{int} \mathcal{CW}\mathcal{E}_\mu(M)$ , and  $\mathcal{U}(f)$  a  $C^1$ -neighborhood of  $f$ . Let  $\varepsilon > 0$  and  $\mathcal{V}(f) \subset \mathcal{U}(f)$  be corresponding number and  $C^1$ -neighborhood given by Lemma 5.1. To derive a contradiction, suppose that there is a non-hyperbolic periodic point  $p \in P(g)$  for some  $g \in \mathcal{V}(f)$ . To simplify the notation in the proof, we may assume that  $g(p) = p$ . Then there is at least one eigenvalue  $\lambda$  of  $D_p g$  such that  $|\lambda| = 1$ . By making use of Lemma 5.1, we linearize  $f$  at  $p$  with respect to Moser's theorem, that is, by choosing  $\alpha > 0$  sufficiently small we construct  $g_1$   $C^1$ -nearby  $g$  such that

$$g_1(x) = \begin{cases} \varphi_p^{-1} \circ D_p g \circ \varphi_p(x) & \text{if } x \in B_\alpha(p), \\ g(x) & \text{if } x \notin B_{4\alpha}(p). \end{cases}$$

Then  $g(p) = g_1(p) = p$ . Thus  $T_p M = E^c \oplus E^\sigma$ , where  $E_p^c$  associated to  $\lambda = 1$  and  $E_p^\sigma$  associated to eigenvalues less than one and greater than one. Take  $\eta = \alpha/4$ . Then we define  $E^c(\eta) \cap \varphi_p(B_\alpha(p)) = E^c(\eta)$ .

*Case 1.*  $\dim E_p^c = 1$ .

Since  $p$  is non-hyperbolic for  $g_1$ , by our construction, we may assume that there is  $l > 0$  such that  $D_p g_1^l(v) = v$  for any  $v \in E_p^c(\eta) \cap \varphi_p(B_\alpha(p))$ . Take  $v \in E_p^c(\eta)$  such that  $\|v\| = \eta/4$ . Then we can find a small arc  $\mathcal{I}_p = \varphi_p^{-1}(\{tv : 1 \leq t \leq \eta/4\}) \subset B_\alpha(p)$  such that

- (i)  $g_1^i(\mathcal{I}_p) \cap g_1^j(\mathcal{I}_p) = \emptyset$  if  $0 \leq i \neq j \leq l-1$ ,
- (ii)  $g_1^l(\mathcal{I}_p) = \mathcal{I}_p$ , that is,  $g_1^l|_{\mathcal{I}_p}$  is the identity map,
- (iii)  $\mathcal{I}_p$  is normally hyperbolic.

For simplicity, we assume that  $g_1^l = g_1$ . Take  $e = \eta$ . Then for all  $n \in \mathbb{Z}$ ,

$$\text{diam}(g_1^n(\mathcal{I}_p)) < e.$$

This is a contradiction.

*Case 2.*  $\dim E_p^c = 2$ .

In the proof of the second case, to avoid notational complexity, we consider the case  $g(p) = p$ . By Lemma 5.1, there is  $\alpha > 0$  and  $h \in \mathcal{U}(f)$  such that  $h(p) = g(p) = p$  and  $h(x) = \varphi_p^{-1} \circ D_p g \circ \varphi_p(x)$  if  $x \in B_\alpha(p)$ . With a small modification of  $D_p g$ , we may assume that there

is  $l > 0$  such that  $D_p g^l(v) = v$  for any  $v \in E_p^c(\alpha)$  by Lemma 5.1. We can choose  $v \in E_p^c(\alpha)$  such that  $\|v\| = \alpha/4$  and we set  $\mathcal{D}_p = \varphi_p^{-1}(\{tv : 1 \leq t \leq \alpha/4\}) \subset B_\alpha(p)$ . Then the disk  $\mathcal{D}_p$  satisfies the following conditions:

- (i)  $h^i(\mathcal{D}_{p_h}) \cap h^j(\mathcal{D}_{p_h}) = \emptyset$  if  $0 \leq i \neq j \leq l-1$ ,
- (ii)  $h^l(\mathcal{D}_{p_h}) = \mathcal{D}_{p_h}$ , that is,  $h^l|_{\mathcal{D}_{p_h}}$  is the identity map,
- (iii)  $\mathcal{D}_p$  is normally hyperbolic.

As in the proof of the  $\dim E_p^c = 1$ , we can derive a contradiction. □

*Proof of Theorem B* Suppose that  $f \in \text{int} \mathcal{CW}\mathcal{E}_\mu(M)$ . By Lemma 5.4,  $f \in \mathcal{F}_\mu(M)$ . Thus by Theorem 5.2,  $f$  is Anosov. □

*Proof of Theorem C* The proof of Theorem C is parallel the proof of Theorem A. Indeed, to prove Theorem A we use previous results - Lemmas 4.2, 4.4 and 4.5. Then we have a volume-preserving diffeomorphism  $f \in \mathcal{F}_\mu(M)$ . Thus  $f$  is Anosov. □

In diffeomorphisms, there is an open problem: are Anosov diffeomorphisms transitive? In [13] Franks and [22] Newhouse proved it for codimension one Anosov diffeomorphisms. It was announced in Xia in a talk, Anosov diffeomorphisms are transitive, an invited talk of the Rocky Mountain Conference on Dynamical Systems, May 12-14, 2008, that every Anosov diffeomorphism is transitive. It has not been published yet. Nevertheless, in the volume-preserving diffeomorphism, an Anosov diffeomorphism has the non-wandering set equal to the whole manifold  $M$  by the Poincaré theorem. By the shadowing property of the hyperbolic sets the periodic points are dense in  $M$ . And by the Smale spectral decomposition theorem, we have a single piece equal to  $M$ , and so, we have transitivity. Thus, the Anosov volume-preserving diffeomorphism is transitive, which is a direct consequence of classic hyperbolic dynamics. But in volume-preserving diffeomorphisms Bonatti and Crovisier proved that  $C^1$ -generically, a volume-preserving diffeomorphism is transitive.

**Theorem 5.5** [23, Theorem 1.3] *There is a residual set  $\mathcal{R}_4 \subset \text{Diff}_\mu(M)$  such that for any  $f \in \mathcal{R}_4$ ,  $f$  is transitive and  $M$  is a unique homoclinic class.*

We say that  $f$  is *transitive* if there is a point  $x \in M$  such that  $\omega(x) = M$ , where  $\omega(x)$  is the omega limit set.

**Remark 5.6** In [24, Theorem 1.3], Newhouse showed that  $C^1$ -generic volume-preserving diffeomorphisms in surfaces are Anosov or else the elliptical points, nonreal eigenvalues conjugated and of norm one, are dense.

By [8] and Theorem A, we have the following.

**Corollary 5.7** *There is a residual set  $\mathcal{G} \subset \text{Diff}_\mu(M)$  such that for any  $f \in \mathcal{G}$ , the following are equivalent:*

- (a)  $f$  is expansive,
- (b)  $f$  is transitive Anosov.

Moreover, if  $\dim M \geq 3$  then

- (c)  $f$  is continuum-wise expansive,

- (d)  $f$  has the shadowing property,
- (e)  $f$  has the weak specification property.

*Proof* Let  $f \in \mathcal{G} = \mathcal{R}_3 \cap \mathcal{R}_4$  is continuum-wise expansive. By Theorem A,  $f$  is Anosov. Since  $f \in \mathcal{R}_4$ , by Lemma 5.5,  $f$  is transitive. Thus if  $f$  is continuum-wise expansive, then  $f$  is transitive Anosov. By Bessa *et al.* [8],  $f$  is expansive, then  $f$  is Anosov, and so,  $f$  is transitive Anosov. If  $\dim M \geq 3$ , then by Bessa *et al.* [8] if  $f$  has the shadowing property and  $f$  has the weak specification property, then  $f$  is Anosov and since  $f \in \mathcal{R}_4$ , also  $f$  is transitive. Thus  $f$  is transitive Anosov.  $\square$

#### Competing interests

The author declares to have no competing interests.

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